

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

35.C13989 DI

First Named Inventor or Application Identifier

TAIZOU HORI, ET AL.

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Commissioner for Patents
Box Patent Application
Washington, DC 20231

☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)

☐ Applicant claims small entity status.
See 37 CFR 1.27.

3. ☒ Specification Total Pages

4. ☒ Drawing(s) (35 USC 113) Total Sheets

5. ☒ Oath or Declaration Total Pages

a. ☐ Newly executed (original or copy)

b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)

i. ☐ **DELETION OF INVENTOR(S)**
Signed Statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).

6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer
Program (Appendix)

8. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

a. ☐ Computer Readable Form (CRF)

b. Specification Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies); or

ii. ☐ paper

c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))

10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)

11. ☐ English Translation Document (if applicable)

12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS
Citations

13. ☒ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. ☒ Other: Request For Approval To Amend The Drawings.
Letter Transmitting Substitute Formal Drawings.

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation
Examiner T. Tran

☒ Divisional
Group/Art Unit. 2615

☐ Continuation-in-part (CIP) of prior application No. 09/428,651

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

05514
(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

NAME

Address

City

State

Zip Code

Country

Telephone

Fax

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	26-20 =	6	X \$ 18.00 =	\$ 108.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	8-3 =	5	X \$ 80.00 =	\$ 400.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			\$270.00 =	\$ 0
				BASIC FEE (37 CFR 1.16(a))	\$ 710.00
	Total of above Calculations =				\$1218.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				
	TOTAL =				\$1218.00

19. Small entity status

- a. ☐ A small entity statement is enclosed
- b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

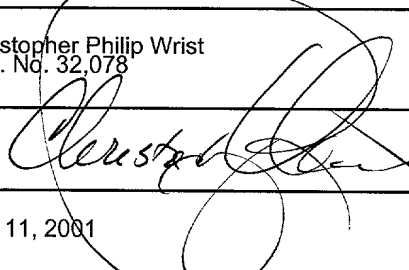
20. ☒ A check in the amount of \$1218.00 to cover the filing fee is enclosed.

21. ☐ A check in the amount of \$ _____ to cover the recordal fee is enclosed.

22. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 06-1205:

- a. ☒ Fees required under 37 CFR 1.16.
- b. ☒ Fees required under 37 CFR 1.17.
- c. ☐ Fees required under 37 CFR 1.18.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME	Christopher Philip Wrist Reg. No. 32,078
SIGNATURE	
DATE	July 11, 2001

35.C13989 DI

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Divisional Appln. of:)
Application No.: 09/428,651 :
Filed: October 28, 1999)
: Examiner: Unassigned
TAIZOU HORI, ET AL.)
: Group Art Unit: Unassigned
Application No.: Unassigned)
:
Filed: Concurrently Herewith)
:
For: VARIABLE-MODE)
INFORMATION SIGNAL :
REPRODUCTION APPARATUS)
WITH TRACKING CONTROL :
(AS AMENDED)) July 11, 2001

Commissioner For Patents
Washington, D.C. 20231

REQUEST FOR APPROVAL TO AMEND THE DRAWINGS

Sir:

In accordance with U.S. Patent and Trademark Office procedures, enclosed are duplicate copies of informal drawings, with proposed amendments shown in red ink.

Applicants respectfully request approval to amend the drawing as follows:

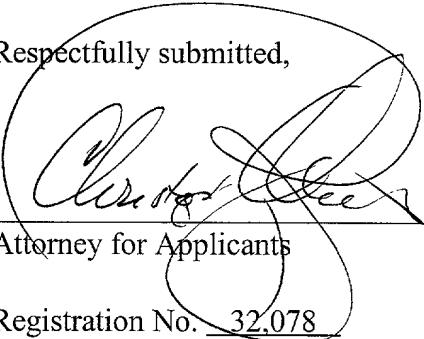
IN FIGURE 6

Change "SWITCH 28" to --SWITCH 27--.

Applicants submit the proposed amendments to the drawings merely conform the drawings and the written description in the original disclosure, and therefore merely are formal in nature. Favorable consideration is requested.

Applicants' undersigned attorney may be reached in our Washington D.C. Office by telephone at (202) 530-1010. All correspondence should continue to be directed to our below listed address.

Respectfully submitted,



Attorney for Applicants

Registration No. 32,078

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New York, New York 10112-3801
Facsimile: (212) 218-2200
CPW\gmc

35.C13989

PATENT APPLICATION

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In re Divisional Appln. of:)
Application No. 09/428,651 : Examiner: Unassigned
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LETTER TRANSMITTING SUBSTITUTE FORMAL DRAWINGS

Sir:

Applicants concurrently file herewith a Divisional Application Under 37 CFR § 1.53(b) and hereby submit one (1) sheet of formal drawings (Sheet 5 of 8) that incorporates all necessary changes approved by the Examiner, in the Notice of Allowability (PTOL-37) Form that accompanied the Notice of Allowance in parent application no. 09/428,651 . Applicants request that the accompanying sheet be substituted for the corresponding sheet in the official file.

Applicants believe that no fees should be incurred in connection with filing this paper. However, the Commissioner is authorized to charge any fees associated herewith to Deposit Account No. 06-1205.

Applicants' undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 530-1010. All correspondence should continue to be directed to our below listed address.

Respectfully submitted,



Attorney for Applicants

Registration No. 32,078

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